

APPENDIX A
Current Reporting Requirements of the AWBA¹

TABLE A.1. STATUTORY OBLIGATIONS

Documents	Type of Report	Due Date	Description
Indian Firming Measures			
45-2491. State Commitments to Firm Indian Settlement Water	n/a	n/a	
GRIC Southside Replenishment			
45-2623. Satisfaction of Southside Replenishment Obligations			
Notification of Obligation to GRIC - IGA	Annual	11/1	Notification to GRIC of a replenishment obligation and the method that will be used to fulfill the obligation.
Replenishment Progress Report - IGA	Annual	1/31	Notification to GRIC of the AWBA's progress toward meeting a replenishment obligation.
Notification of Obligation Completion - 45-2623(E)	As needed	7/1	Notification to GRIC that a replenishment obligation has been satisfied.
45-2624. Southside Replenishment Bank; credits			
IGA with the GRIC - 45-2624(D)			
Replenishment Progress Report	Annual	1/31	Notification to GRIC of replenishment bank activities and the progress made toward meeting the initial 15,000 ac-ft delivery obligation.
45-2626(E). Individual Southside Replenishment Obligations			
Notification of Individual Obligation	As needed	12/31	AWBA 1st class mail notification to persons who have an individual replenishment obligation for the preceding year. The notice specifies the amount of the obligation, the actual or estimated cost of replenishing the water, and the date by which the obligation must be satisfied.

¹ A record of the expiration dates for all AWBA contracts and agreements is maintained by staff to avoid the inadvertent lapse of these documents.

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TABLE A.2. CONTRACTUAL OBLIGATIONS-AGREEMENTS

Documents	Type of Report	Due Date	Description
Agreements Creating Specific Obligations for the AWBA			
Amended Agreement for Interstate Water Banking			
Interstate Activity Update	Quarterly	n/a	A table provided for the Authority that identifies the amount of credits accrued annually for Nevada, including current year estimates, the percentage of the goal that has been achieved, the cost of storage, monies received from Nevada, and the funds remaining.
Interest on Nevada Resource Account	Quarterly	n/a	Identifies the amount of interest that would have accrued on the \$100 million if loans were not provided to Nevada.
Agreement to Firm Future Supplies	n/a	n/a	
Secretarial Agreement- P.L.108-451, Sec.105(b)(2)			
(A) GRIC firming	n/a	n/a	
(B) Future firming for other AZ Indian tribes	n/a	n/a	
(C) Federal Obligation Accounting Report	Annual	n/a	Notification to the Secretary of the amount of credits accrued and monies expended toward meeting the State's obligation of contributing \$3,000,000 in assistance to the Secretary for meeting its Tohono O'odham Nation firming obligation.

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TABLE A.2. CONTRACTUAL OBLIGATIONS-AGREEMENTS (Continued)

Documents	Type of Report	Due Date	Description
Agreements Related to Delivery, Storage, and Accounting of AWBA Water			
Agreements with Facility Partners			
ADWR Annual Report	Annual	3/31	Filing of ADWR Underground Water Storage Reports for the previous year's activities. Required for all ADWR permit holders.
IGA between ADWR, CAWCD, & AWBA			
Excess CAP Water Contract between CAWCD and the AWBA			
Delivery Schedule (Table 2)	Annual	Dec.	A water delivery schedule prepared in coordination with CAWCD for the AWBA's Plan of Operation for the following year.
Master Water Storage Agreement			
ADWR Annual Report	Annual	3/31	Filing of ADWR Underground Water Storage Reports for the previous year's activities at CAWCD storage facilities.
Storage and Interstate Release Agreement			
Forecast	Annual	12/31	Notification to the Secretary of the estimated amount of credits to be developed in the following year.
Preliminary Estimates	Annual	12/31	Notification to the Secretary of a provisional estimate of credits developed for the current year.
ICUA Confirmation	Annual	4/1	Written notification to the Secretary documenting the amount of ICUA that was created the previous year based on the Interstate Recovery Schedule and the method that was used to create the ICUA.
Final Accounting	Annual	9/1	A verified accounting of interstate credit activity for the previous year, including credit accrual, transfers, and a cumulative balance, submitted to the Secretary, Arizona, California & Nevada.
Agreement for the Development of Intentionally Created Unused Apportionment (ICUA)			
ICUA Development Schedule	Annual	12/31	Notification to Secretary and SNWA of the amount of ICUA to be created the following year.
ICUA Report to ADWR	Annual	3/31	A joint filing of an annual report with ADWR between the AWBA and CAWCD that identifies CAWCD's recovery/exchange of LTSCs for the development of ICUA for SNWA by the AWBA.
Arizona-Nevada Shortage-Sharing Agreement			
Amended Letter Agreement for the Creation of ICUA between CAWCD and MWD			
ICUA Development Schedule	Annual	12/31	Notification to Secretary and MWD of the amount of ICUA to be created the following year.
ICUA Report to ADWR	Annual	3/31	A joint filing of an annual report with ADWR between the AWBA and CAWCD that identifies CAWCD's recovery/exchange of LTSCs for the development of ICUA for MWD by the AWBA.
ICUA Certification	Annual	6/1	Written notification to Secretary certifying the amount of ICUA that was created for MWD the previous year and the method that was used to create the ICUA.

APPENDIX A
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TABLE A.3. ADMINISTRATIVE REQUIREMENTS

Documents	Type of Report	Due Date	Description
45-2423. Meeting minutes	Per Meeting		A summary of the discussions at AWBA's public meetings.
45-2424. FY Administrative Budget	Annual FY	7/1	Identifies ADWR's and CAWCD's costs for providing services requested by the Authority.
45-2426. AWBA Annual Report	Annual	7/1	Provides an accounting of all AWBA activities, including funding and credit accrual for the previous calendar year and an overview of the AWBA's achievements toward meeting its obligations.
Ten-Year Plan	Annual	7/1	Report of all obligations the AWBA plans to undertake for the following 10 years and the projections for meeting those obligations, including the availability of funding , water, and storage capacity.
45-2452. Storage Facility Inventory	5-Year	n/a	Provides an assessment of storage capacity available to the AWBA over a ten-year period for the purpose of meeting its goals.
45-2456. Plan of Operation	Annual	1/1	The Plan includes a projection of expenditures by fund, a monthly delivery schedule, delivery and storage costs, an estimate of credits, credits that will be distributed or extinguished, and any other pertinent information.
Water Delivery and Storage Budget	Annual	1/1	Provided in conjunction with the Plan of Operation and includes projected revenues, water delivery and facility rates, estimated expenditures and projected carryover of funds.
Water Delivery Update	Quarterly	n/a	A monthly accounting of planned and actual deliveries.
45-2457. Accounting; Rules of Operation			
[See AWBA Annual Report Above]	Annually	7/1	
45-2473. Interstate (Nevada) FY Report to JLBC	Annual	10/1	A report that accounts for all monies received from Nevada, disbursements made, prepayments to CAWCD and the fund balance at the State Treasurers Office.